

Audit and Risk Management Work Programme 2013/14

(Please note - additions since last meeting in italic)

Date	Items
28 January 2014	<ul style="list-style-type: none"> • Risk Management Update • Strategic Risk Review - <i>SR4 Planning Policy (brought forward from March 2014)</i> • Strategic Risk Review – <i>SR5 Flooding in the City (brought forward from March 2014)</i> • Committee Effectiveness Review – annual update • Internal Audit Customer Satisfaction Review – update on actions list
4 March	<ul style="list-style-type: none"> • 2014/15 Internal audit plan • Internal Audit Progress Report • Internal Audit recommendations follow-up report • Investigation Update report • Strategic Risk Review – <i>New: Safeguarding (if approved in Jan as Strategic Risk)</i> • <i>Strategic Risk Review - Old SR11 expanded to generic Ponds and Dams Risk (moved back from Jan 2014, as would only have been 6 months since previous report and Committee requested 9 monthly updates)</i> • Annual Governance Statement - methodology
13 May	<ul style="list-style-type: none"> • Internal Audit Progress Report • Internal audit recommendations follow-up report • Anti-Fraud & Investigation Update report • Risk Management Update • Strategic Risk Review – <i>Old SR16 expanded to broader Information Management risk (was just Data Protection) – as per Zurich recommendation</i> • <i>Strategic Risk - tbc</i> • Head of Internal Audit Opinion and Annual report • HMIC Police Inspections Summary report • Annual Governance Statement – 2013/14 • Private Member meeting with Head of Internal Audit

22 July	<ul style="list-style-type: none"> • Audited 2013/14 City Fund and Pension Fund Financial Statements together with Deloitte's report thereon • Audited 2013/14 Bridge House Estates and Sundry Trusts Financial Statements together with Deloitte's report thereon • Audited 2013/14 City's Cash and City's Cash Trust Funds Financial Statements together with Moore Stephens report thereon
9 September	<ul style="list-style-type: none"> • Internal Audit Progress Report • Internal audit recommendations follow-up report • Investigations Update report • Risk Management Update • 2 Strategic Risk Reviews – tbc
4 November	<ul style="list-style-type: none"> • Internal Audit Planning for 2014/15 • 2 Strategic Risk Reviews – tbc
8 December	<ul style="list-style-type: none"> • Deloitte's Annual Audit Letter on the City Fund and Pension Fund Financial Statements • Deloitte's annual audit plan for City Fund Financial Statements including agreement of the audit fee • Deloitte's annual audit plan for the Pension Fund Financial Statements including agreement of the audit fee • Moore Stephens - annual audit plan for the Non Local Authority Funds including agreement of the audit fee • Internal Audit Progress Report • Internal audit recommendations follow-up report • Anti-Fraud & Investigation Update report • Risk Management Update